

STORE & CENTRALISE DATA MANAGEMENT INFORMATION FOR CONTROL AND COMPLIANCE

DCDM is a web-based tool, enabling market data Administrators / Analysts to easily store and evaluate user, desk, application and exchange dependencies. Clients can run reports to verify data coverage with the business and system owners. Views are provided on an application's subscriptions, contributions, upstream/downstream connections, internal/external pricing & reference dependencies...all assisting with external data audits.

Desk & User Profiles

Key Features:

- 'Desk Profile' – Create tailored requirements listings and manage dependencies (Applications, Excel, Trading etc.) on a per desk basis
- 'User Profile' – Create tailored requirements listings and manage dependencies (Applications, Excel, Trading etc.) on an individual user basis
- Produce reports on user profiles that sit outside of their generic desk profile
- Produce reports on market data services and exchanges utilised by each desk, highlighting any services or exchanges belonging solely to individual users
- Export / import from and into Excel.

Key Benefits:

- ✓ Helps justify cancellation of duplicate services
- ✓ Helps identify 'gaps' from existing services
- ✓ Provides a fast, effective means of verifying services versus user count for compliance purposes
- ✓ Provides transparency on desk and user dependencies; highlighting patterns, anomalies, data and application duplication and data overlaps. Leads to a reduction in existing spend and reduces any financial exposure
- ✓ Assists with vendor and exchange audits by providing transparency on use and dependency as well as user count
- ✓ Increases operational efficiencies through centralisation and ease of use
- ✓ Can reduce further spend by matching DCDM based desk and user requirements to alternative market data services in 3di's *Profiler*.

Application Profiles

Key Features:

- An 'Application Profile' provides a detailed assets and instrument requirements listing plus services and *data flows* to and from other applications
- A dashboard provides a consolidated view; dependencies can be viewed at an aggregated business level and include links directly to the market data used.
- Enables Exchange data drill-down (by frequency, type etc.) and contains links to key Exchange information in 3di's *Profiler*.
- Ability to upload Exchange and vendor contracts, addendums and schematics

- Ability to view an application's subscriptions, contributions, upstream, downstream, internal / external pricing and reference dependencies
- Search, export and report functions enable fast retrieval of information for a range of internal uses.

Key Benefits:

- ✓ Simplifies data flows & assists with data compliance monitoring
- ✓ Helps external audit preparation; saving time and reducing duplication of effort for different vendor / exchange audits
- ✓ Identifies compliance gaps by providing transparency on data use and distribution
- ✓ Proves to data providers that effective process control is in place around data management
- ✓ Creates operational efficiencies through ongoing proactive management of the Applications environment, helping serve the Business faster and more effectively
- ✓ Centralising data management helps create internal ownership and accountability around external data use

Automated Information Uploads

Key Features:

- 3di's Excel Data Dependency Evaluator (EDDE) plug-in enables DCDM users to access market data users' external vendor links within spreadsheets. Available at a desk or user level.
- DCDM can automatically upload organisational and service information from inventories (including MDM, FITS, InfoMatch, FinOffice) ensuring information and manual entry is not duplicated.
- DCDM can integrate with any business application to automate the loading of watch-lists.

Key Benefits:

- ✓ EDDE plug-in enables close scrutinisation of Excel-based market data, thus increasing overall effectiveness of data governance.
- ✓ Inventory uploads ensure both applications remain consistent, up-to-date and work effectively side-by-side. This means greater control, faster response times and true transparency on services, usage and requirements.

DCDM is a cloud delivered solution requiring no client hardware or additional software licensing. Part enterprise data management, part market data inventory, hold your complete market data dependencies and compliance picture at your fingertips!